### Table of Contents

**PART I. U.S. ANTITRUST LAWS**

**CHAPTER 1. UNLAWFUL AGREEMENTS**
- § 1:2 Per se antitrust violations
- § 1:3 Rule of reason: Horizontal agreements
- § 1:4 Rule of reason: Vertical agreements
- § 1:5 Quick look analysis under the rule of reason
- § 1:6 Proof of unlawful conspiracy
- § 1:7 Information exchanges
- § 1:8 Boycotts
- § 1:9 Tying
- § 1:10 Resale price maintenance

**CHAPTER 2. REGULATION OF DOMINANT FIRMS**
- § 2:1 Introduction
- § 2:2 Defining and measuring monopoly power
- § 2:4 Attempted monopolization
- § 2:5 Conspiracy to monopolize
- § 2:6 Predatory pricing
- § 2:7 The essential facilities doctrine
- § 2:8 Other types of predation
- § 2:9 Price discrimination

**CHAPTER 3. SECTION 7 OF THE CLAYTON ACT**
- § 3:2 Horizontal mergers
- § 3:3 Horizontal mergers—Market definition
- § 3:4 Horizontal mergers—Effect on competition
- § 3:5 Horizontal mergers—Government enforcement policy
- § 3:6 Vertical mergers
- § 3:9 Relief in Section 7 litigation
- § 3:13 Relief in international merger cases
- § 3:14 Governmental enforcement policy towards transnational mergers
- § 3:15 The Hart-Scott-Rodino Act
- § 3:17 National security review
- § 3:18 Production joint ventures
§ 3:23 Foreign merger and joint venture regulation

CHAPTER 4. ENFORCEMENT OF THE ANTITRUST LAWS

§ 4:1 Introduction
§ 4:2 United States Department of Justice
§ 4:3 Criminal prosecution
§ 4:4 Grand jury investigations
§ 4:5 Consequences of convictions
§ 4:6 Civil governmental actions
§ 4:7 International cooperation
§ 4:8 Consent decrees
§ 4:10 Competition advocacy
§ 4:11 The international antitrust guidelines
§ 4:12 The FTC
§ 4:13 Section 5 of the Federal Trade Commission Act
§ 4:14 Enforcement procedures
§ 4:16 Competition advocacy
§ 4:19 Standing
§ 4:20 Antitrust injury
§ 4:21 Indirect purchasers
§ 4:22 Proving antitrust damages
§ 4:23 Exemptions from the antitrust laws

PART II. U.S. ANTITRUST LAWS AND INTERNATIONAL COMMERCE

CHAPTER 5. CARTEL ENFORCEMENT

§ 5:1 Introduction
§ 5:3 Enforcement activity in the United States
§ 5:9 Enforcement activity in the United States—Major criminal cases—Ready mixed concrete
§ 5:9.10 Enforcement activity in the United States—Major criminal cases—Automotive parts [New]
§ 5:9.20 Enforcement activity in the United States—Major criminal cases—Air cargo [New]
§ 5:9.35 Enforcement activity in the United States—Major criminal cases—Cathode Ray Tube [New]
§ 5:9.40 Enforcement activity in the United States—Major criminal cases—Freight Forwarding [New]
TABLE OF CONTENTS

§ 5:9.45 Enforcement activity in the United States—Major criminal cases—Compressors [New]
§ 5:9.50 Enforcement activity in the United States—Major criminal cases—LIBOR and EURIBOR [New]
§ 5:9.55 Enforcement activity in the United States—Major criminal cases—Foreign exchange rates [New]
§ 5:9.60 Enforcement activity in the United States—Major criminal cases—Ocean shipping services [New]
§ 5:9.65 Enforcement activity in the United States—Major criminal cases—Wall decor [New]
§ 5:9.70 Enforcement activity in the United States—Major criminal cases—Parking heaters [New]
§ 5:9.80 Enforcement activity in the United States—Major criminal cases—Capacitors [New]
§ 5:9.90 Enforcement activity in the United States—Major criminal cases—Generic drugs [New]
§ 5:9.95 Enforcement activity in the United States—Major criminal cases—Employment practices [New]
§ 5:9.96 Enforcement activity in the United States—Major criminal cases—Government contracts [New]
§ 5:9.97 Enforcement activity in the United States—Major criminal cases—Intravenous saline solution [New]
§ 5:9.98 Enforcement activity in the United States—Major criminal cases—Online retail promotional products [New]
§ 5:13 Enforcement activity in the United States—The leniency program—Antitrust Criminal Penalty Enhancement and Reform Act of 2004
§ 5:14 Enforcement activity in the United States—The leniency program—The amnesty program in operation
§ 5:15 Enforcement activity in the United States—Civil actions and state enforcement
§ 5:16 Enforcement activity in the European Union
§ 5:17 Enforcement activity in Canada
§ 5:18 Enforcement activity in other jurisdictions
§ 5:20 Increased international cooperation and convergence—Convergence of leniency programs
§ 5:21 Increased international cooperation and convergence—International cooperation between antitrust enforcement agencies
§ 5:22 Increased international cooperation and convergence—International organizations

CHAPTER 6. EXTRATERRITORIALITY

§ 6:8 The international insurance antitrust litigation
§ 6:10 United States government policy—Department of Justice policy
§ 6:11 United States government policy—Federal Trade Commission policy
CHAPTER 7. ANTITRUST PROBLEMS IN EXPORT TRANSACTIONS
§ 7:3 The Foreign Trade Antitrust Improvements Act
§ 7:4 Interpreting the Foreign Trade Antitrust Improvements Act
§ 7:11 Suits by foreign purchasers under the U.S. antitrust laws
§ 7:12 Application of foreign competition laws to United States exports

CHAPTER 8. INTERNATIONAL TRADE AND U.S. ANTITRUST LAW
§ 8:2 Service of process
§ 8:3 Avoiding “international” service
§ 8:4 Personal jurisdiction
§ 8:5 Venue
§ 8:6 Forum non conveniens
§ 8:7 Discovery of evidence abroad
§ 8:8 Proceeding under the Hague Convention
§ 8:14 Antisuit injunctions
§ 8:15 Blocking statutes
§ 8:16 Arbitration of antitrust claims

CHAPTER 9. MULTI-JURISDICTIONAL Mergers
§ 9:3 Development of merger enforcement in foreign nations
§ 9:5 Premerger notification issues
§ 9:7 Confidentiality and waiver
§ 9:8 Substantive merger review
§ 9:10 Remedies
§ 9:13 International Competition Network (“ICN”)
§ 9:14 Other efforts at convergence and harmonization
§ 9:15 Bilateral cooperation

CHAPTER 10. INTERNATIONAL TRANSFER OF TECHNOLOGY AND INTELLECTUAL PROPERTY ISSUES
§ 10:3 The cartel cases
§ 10:4 Price restraints within legitimate licensing agreements
§ 10:5 Non-price restraints in licenses
§ 10:6 Non-price restraints in licenses—Exceeding the scope of intellectual property protection
§ 10:7 Non-price restraints in licenses—Territorial restraints
§ 10:8 Non-price restraints in licenses—Field of use restrictions
§ 10:9 Non-price restraints in licenses—Tying
§ 10:10 Non-price restraints in licenses—Grantbacks
TABLE OF CONTENTS

§ 10:11 Patent Pools
§ 10:12 Settlement of patent litigation
§ 10:13 Department of Justice enforcement policy
§ 10:14 The 1995 and 2017 Antitrust Guidelines for the Licensing of Intellectual Property [Retitled]
§ 10:15 Antitrust and intellectual property enforcement under the 1995 Intellectual Property Guidelines
§ 10:16 Patent fraud
§ 10:17 Enforcement of intellectual property rights
§ 10:19 Gray market imports—Gray market and trademarks
§ 10:20 Gray market imports—Gray market and copyright law

CHAPTER 11. FOREIGN GOVERNMENTAL INVOLVEMENT

§ 11:2 Foreign Sovereign Immunities Act
§ 11:3 The Act of State doctrine
§ 11:4 The Act of State doctrine—The evolution of the Act of State doctrine
§ 11:7 The Act of State doctrine—Governmental representations in United States litigation—Foreign government representations
§ 11:8 The Act of State doctrine—Governmental representations in United States litigation—United States government representations
§ 11:10 Foreign sovereign compulsion defense—The requirement of actual compulsion
§ 11:11 Foreign sovereign compulsion defense—Negative compulsion and blocking statutes
§ 11:13 Foreign sovereign compulsion defense—Exceptions and limitations to the defense
§ 11:14 Foreign sovereign compulsion defense—The changing nature of the foreign compulsion defense
§ 11:15 Lobbying foreign governments

PART III. U.S. ANTITRUST LAWS AND INTERNATIONAL TRADE LAW

CHAPTER 12. COMPETITION AND FAIRLY TRADED IMPORTS

§ 12:2 Basic international trade regulatory structure
§ 12:3 Multilateral agreements and competition issues
§ 12:4 Bilateral and plurilateral agreements (“FTAs”) and competition issues
§ 12:5 Import relief statutes
§ 12:6 Import relief statutes—Section 201
§ 12:7 Import relief statutes—Country-specific escape clauses (section 406 (NME), section 421 (China))
§ 12:8 Import relief statutes—Sector-specific escape clauses
§ 12:10 National security/foreign policy trade restraints—Economic Sanctions
§ 12:11 National security/foreign policy trade restraints—Export controls
§ 12:12 National security/foreign policy trade restraints—Antiboycott regulations
§ 12:13 National security/foreign policy trade restraints—Section 232 (discretionary import relief based on national security)
§ 12:14 National security/foreign policy trade restraints—Exon-Florio Amendment
§ 12:15 National security/foreign policy trade restraints—Defense Production Act Antitrust Immunity

CHAPTER 13. “UNFAIR” INTERNATIONAL TRADE PRACTICES
§ 13:3 Antidumping duties—Regulatory scheme
§ 13:5 Countervailing duties
§ 13:6 Section 337 (IP-related imports)
§ 13:7 Section 301
§ 13:8 Conditional eligibility for preferential market access
§ 13:9 Foreign Corrupt Practices Act

CHAPTER 14. TRADE LAW PRACTICES THAT RAISE ANTITRUST ISSUES
§ 14:2 The costs and burdens of trade complaints
§ 14:3 The antitrust implications of sham litigation
§ 14:4 Sham litigation in international trade cases
§ 14:6 Import relief as a guise for collusion

CHAPTER 15. PURSUING TRADE RELIEF
§ 15:1 Introduction
§ 15:2 Antidumping and countervailing duty cases
§ 15:4 Antidumping and countervailing duty cases—Data gathering and analysis—Scope and domestic like product
§ 15:5 Antidumping and countervailing duty cases—Data gathering and analysis—Foreign producing countries at issue
§ 15:6 Antidumping and countervailing duty cases—Data gathering and analysis—Injury
§ 15:7 Antidumping and countervailing duty cases—Data gathering and analysis—Allegations of dumping and subsidization
§ 15:8 Antidumping and countervailing duty cases—Data gathering and analysis—Industry support/standing
TABLE OF CONTENTS

§ 15:11  Antidumping and countervailing duty cases—Preparing and filing the petition—Filing
§ 15:13  Antidumping and countervailing duty cases—Preparing and filing the petition—Preliminary injury determination
§ 15:14  Antidumping and countervailing duty cases—Conclusion
§ 15:15  Safeguard cases
§ 15:16  Safeguard cases—Prior to the petition
§ 15:17  Safeguard cases—Obtaining safeguard relief

Table of Laws and Rules
Table of Cases
Index